

Listing of structured securities

at the Stuttgart Stock Exchange

Agenda

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One market segment

One market segment

Stuttgart Stock Exchange

- Listing at the leading trading venue for structured securities in Europe
- Listing requirements must be fulfilled within the market segment
- Trading and settlement in foreign currency possible
- All prices are market prices
- All trading participants can trade and list on the market segment

Regulated Unofficial Market („Freiverkehr“) = MTF according to Art. 4 (22) MiFID II

- Segment MIC: STUB / STUD

EUWAX trading segment

- Further inclusion into the EUWAX trading segment possible
- The leading trading platform for structured securities in Europe
- Highest liquidity and price quality through pricing and liquidity spending by Liquidity Providers

Listing criteria in general

Listing criteria in general

Market Segment	Regulated Market („Regulierter Markt“)		Regulated Unofficial Market („Freiverkehr“)	
Listing Type	Primary Listing	Secondary Listing	Primary Listing	Secondary Listing
Criteria for the Listing of Securities				
Freely tradable	Listing of structured securities not possible in this market segment.		applicable	applicable
Ensuring orderly trading			applicable	applicable
Ensuring orderly settlement			applicable	applicable
Approval of the issuer			applicable	not applicable
Publication of prospectus			applicable, if a public offer is intended	not applicable
Listing at another trading venue			not applicable	Applicable
Legal Entity Identifier (LEI) of the issuer			applicable	applicable

Listing criteria specifically for structured securities

Listing criteria specifically for structure securities

Market Segment	Regulated Market („Regulierter Markt“)		Regulated Unofficial Market („Freiverkehr“)	
Listing Type	Primary Listing	Secondary Listing	Primary Listing	Secondary Listing
Criteria for the listing of structured securities				
Regulations	Listing of structured securities not possible in this market segment.		Terms and Conditions for Trading in the Regulated Unofficial Market: Inclusion of securities not admitted to, or included in trading at another trading venue	Terms and Conditions for Trading in the Regulated Unofficial Market: Inclusion of securities already admitted to, or included in trading at another trading venue
Fees			The fee for the inclusion is EUR 250.00 No annual fee	The fee for the inclusion is EUR 250.00 No annual fee
Application forms			Application Regulated Unofficial Market Derivatives First Listing + relevant documents	Application Regulated Unofficial Market Derivatives Secondary Listing
Reference Data			All necessary reference data according to Article 27 MiFIR and Article 4 MAR are raised within the application process	

Trading and settlement currencies for structured securities

Trading and settlement currencies

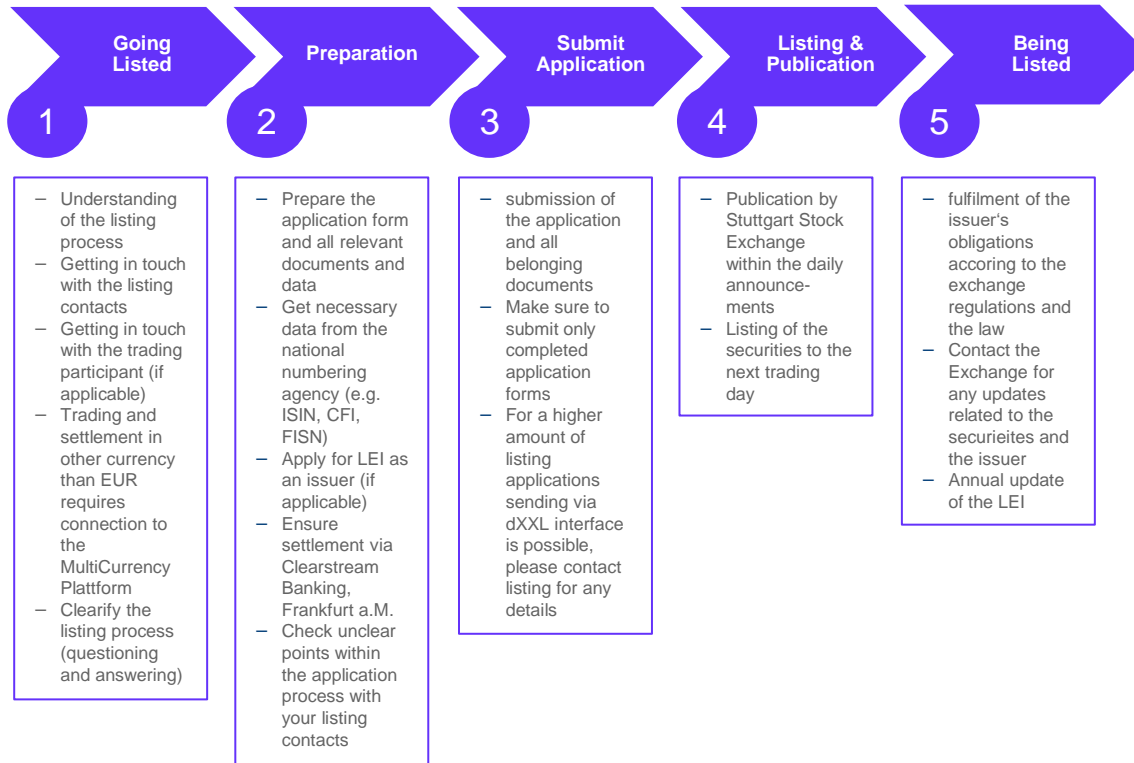
for structured securities

Trading and Settlement currencies (Section 59 (3) Exchange Rules)		
Code*:	Currency	Country
EUR	Euro	Euro Member Countries
AUD	Australian Dollar	Australia
CAD	Canadian Dollar	Canada
CHF	Swiss Franc	Switzerland
CNY	Chinese Yuan Renminbi **	China
CZK	Czech Koruna	Czech Republik
DKK	Danish Krone	Denmark
GBP	Pound Sterling	United Kingdom
HKD	Hong Kong Dollar	Hong Kong
HUF	Hungarian Forint	Hungary
JPY	Japanese Yen	Japan
MXN	Mexican Peso	Mexico
NOK	Norwegian Krone	Norway
NZD	New Zealand Dollar	New Zealand
PLN	Polish Zloty	Poland
RUB	Russian Ruble	Russian Federation
SEK	Swedish Krona	Sweden
SGD	Singapore Dollar	Singapore
TRY	Turkish Lira	Turkey
USD	US Dollar	United States of America
ZAR	South African Rand	South Africa

- The following currencies can be determined as trading and settlement currency
- Connection to the Boerse Stuttgart trading platform 2 (MIC: STUD) is required

Listing process for structured securities

Listing process for structured securities



Contact

Team Listing & Member Services

T +49 711 222 985-528

F +49 711 222 985-529

listing@boerse-stuttgart.de

Baden-Württembergische Wertpapierbörse GmbH

Börsenstraße 4

70174 Stuttgart

boerse-stuttgart.de